

Privacy Policy Notice

N10° Assets

FACTS	WHAT DOES N10° ASSETS DO WITH YOUR FINANCIAL INFORMATION?
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Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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What?	<p>The types of personal information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and income ▪ Account balances and assets ▪ Transaction history ▪ Credit history and credit scores
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How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons N10° Assets chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, report to credit bureaus, and on rare occasions, when verifying performance on models	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	Not applicable
For our affiliates to market to you	No	Not applicable
For nonaffiliates to market to you	No	Not applicable

Questions?	Call us at (203) 930-2880 or visit our website at www.N10assets.com
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Who we are	
Who is providing this notice?	N10° Assets
What we do	
How does N10° Assets protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does N10° Assets collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ▪ Open an account ▪ Deposit money ▪ Seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday purposes-information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We may share information with our affiliates for our everyday business purposes, including information about your transactions and experiences.</i>
Nonaffiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We do not share with non-affiliates so that they can market to you.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> ▪ <i>We do not jointly market.</i>

Other important information

Text Messaging Communications: N10° Assets may communicate with clients via text messaging for account servicing or administrative purposes. These messages may be retained in accordance with SEC Books and Records Rule 204-2 and our firm's recordkeeping policies. Clients should not send confidential personal information via text (such as Social Security numbers, account numbers, or trade instructions). All texting with clients is subject to monitoring and supervision.

AI Meeting Notetakers: N10° Assets may use an artificial intelligence notetaker for internal meetings and, with client permission, for certain client meetings. This technology is used to create accurate and searchable notes and transcripts that improve service delivery and recordkeeping. We have conducted extensive due diligence on the security of this system, including an independent SOC 2 Type 2 examination, third-party penetration testing with an excellent security rating, ongoing vulnerability scans and remediation, and continuous monitoring. Client data recorded through the notetaker is encrypted in transit and at rest, safeguarded by multi-factor authentication and strict access controls, and excluded from external model training. Clients will be notified when such tools are used and may request not to have a call recorded or transcribed. Clients may not use outside notetaker applications that have not undergone our review. All use of AI notetakers is consistent with N10° Assets' privacy, recordkeeping, and supervisory obligations under federal law.

June 1, 2026